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Journal Name Optical and Quantum Electronics

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Schedule	Received	14 October 2017
	Revised	
	Accepted	9 May 2018


Abstract Photodynamic therapy implies a combined use of a photosensitizing medicament and low-intensity light to cause selective damage to the target tissue—tumor. As potential medicament, we use Ru(II)(dcbpy)₂Cl₂ complex, and in order to achieve better photosensitization properties, the Ru complex was attached to the

nano carrier—TiO₂ nanoparticles. Additionally, this nanocomposite system was encapsulated in the phospholipid vesicles, which could be classified as small unilamellar vesicles, based on the technique of production. The complex-release tests were performed under light illumination, at pH 5, characteristic for tumor cells` interior and compared with the release pattern at pH 7, characteristic for the serum, i.e. physiological solution.

Keywords (separated by '-') Photodynamic therapy - Light controlled drug release - Metallo-drug delivery

Footnote Information This article is part of the Topical Collection on Focus on Optics and Bio-photonics, Photonica 2017. Guest Edited by Jelena Radovanovic, Aleksandar Krmpot, Marina Lekic, Trevor Benson, Mauro Pereira, Marian Marciniak.

1 Light controllable TiO₂-Ru nanocomposite system 2 encapsulated in phospholipid unilamellar vesicles 3 for anti-cancer photodynamic therapy

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5 Received: 14 October 2017 / Accepted: 9 May 2018
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7 **Abstract** Photodynamic therapy implies a combined use of a photosensitizing medica-
8 ment and low-intensity light to cause selective damage to the target tissue—tumor. As **AQ1**
9 potential medicament, we use Ru(II)(dcbpy)₂Cl₂ complex, and in order to achieve better
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11 oparticles. Additionally, this nanocomposite system was encapsulated in the phospholipid
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15 acteristic for the serum, i.e. physiological solution.

16 **Keywords** Photodynamic therapy · Light controlled drug release · Metallo-drug delivery

17 1 Introduction

18 Photodynamic therapy represents an alternative tumor-ablative, function-sparing and cost-
19 effective oncologic approach (Dougherty et al. 1998). Usually, medicaments are delivered to
20 diseased tissues by different types of carriers, which have the purpose to preserve medica-
21 ment in the circulation and to minimize toxic side-effects (Hu et al. 2015). The light-induced
22 medicament activation is based on the intrinsic optical properties of a medicament carrier
23 and a medicament itself. In most cases, a nanoparticle (NP)-based medicament carrier or a

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24 medicament itself act as photosensitizers (PSs), by triggering free radical reactions in cells
25 leading eventually to the cell death. As carriers, inorganic NPs have great potency for use, as
26 they can act as PSs due to their distinctive optical absorption properties, transparency of the
27 matrix to light absorption and chemical inertness. They can be easily attached to therapeutic
28 medicament in the form of nanocomposite system (NCS), which can exhibit greater cytotoxicity
29 (Nešić et al. 2017) and higher therapeutic effectiveness for cancer than the corresponding
30 free drugs (Wang et al. 2015; Chen et al. 2011).

31 We used TiO₂ NPs as carrier because of their availability, minimal dark cytotoxicity at low
32 concentrations and brief exposure (Hou et al. 2015). TiO₂ NPs also showed great chemical
33 stability and minimal impact to the environment (Zhang et al. 2012). The photocatalytic kill-
34 ing effect to the tumor cells of the TiO₂ NPs had been confirmed, via the caspase-dependent
35 apoptosis (Zhang et al. 2014).

36 The surface of TiO₂ can be modified and thus specifically designed for the function of drug
37 carriers (Wang et al. 2015). As the second component of the system, Ru(II)(dcbpy)₂Cl₂ com-
38 plex, that belongs to the second generation of metallo-drugs, can be easily attached to the
39 TiO₂ surface via carboxyl groups (Savić et al. 2012), while the central metal ion remains free
40 for the interaction with biomolecules. In the field of the development of anticancer drugs, it
41 was proved that some Ru complexes could promote the intracellular formation of free radical
42 species upon irradiation, thus photosensitizing capacity for PDT had been confirmed (Levina
43 et al. 2009). The photoactive properties of the Ru(II)(dcbpy)₂Cl₂ complex had been confirmed
44 in the nanocrystalline TiO₂-based solar cell (Nazeeruddin et al. 1999). Moreover, in our pre-
45 vious work we showed that this NCS which is conjugate of Ru(II)(dcbpy)₂Cl₂ complex and
46 TiO₂ NPs, has cytotoxic effect on melanoma cells (Nešić et al. 2017), and that the complex
47 release kinetics can be influenced by light of various wavelengths. Additionally, the system
48 demonstrated light-tunable properties, regarding the cell cytotoxicity: the melanoma cell cy-
49 toxicity increased upon UV light illumination, whereas visible light reduced the number of
50 dead melanoma cells (Nešić et al. 2017).

51 In spite of initial success, there are several issues which are addressed in the present study.
52 Firstly, the stability of the system in physiological conditions (for instance, stability in human
53 sera during drug administration and transportation) is questionable, because there is the risk
54 from the NCSs aggregation. Second question is whether is possible to affect the complex
55 release properties when the system is encapsulated in the phospholipid vesicles, which should
56 additionally prevent the complex leakage from the NCS, and potentially increase the cellu-
57 lar uptake of the NCS by tumor cells. To address the first issue, we have stabilized colloidal
58 dispersion of TiO₂ NPs in physiological conditions, pH 7, by NP surface modification. Then,
59 NCS was formed as described in our previous work (Nešić et al. 2016, 2017), and binding
60 of Ru-complex to the surface of the TiO₂ NPs was confirmed by Fourier transform infrared
61 (FTIR) spectroscopy. Secondly, NCS was encapsulated in the small unilamellar vesicles
62 (SUVs), and for both systems, encapsulated and non-encapsulated, visible light photo respon-
63 sive properties for potential controlled drug delivery were examined.

64 2 Materials and methods

65 2.1 Synthesis and characterization of transitional metal complex and NCS

66 Complex cis-dichlorobis(2,2'-bipyridyl-4,4'-dicarboxylic acid) ruthenium(II), was synthe-
67 sized using the procedure described earlier (Nazeeruddin et al. 1999) and stored in the dark

68 at $-20\text{ }^{\circ}\text{C}$. Synthesized Ru(II) complex was characterized by UV-Vis spectrophotometry
69 and by FTIR spectroscopy. Colloidal TiO₂ NPs pH 7 ($d = 5\text{ nm}$) were synthesized by the
70 slightly modified method of Rajh et al. (2004).

71 Ethanol solution of complex was added to aqueous suspensions of TiO₂ NPs pH 7, esti-
72 mating that the fraction of exposed Ti atoms on surface of TiO₂ NPs is 30% (Lin et al.
73 1997). The reaction mixture was incubated overnight in the dark at room temperature for
74 the preparation of NCS. The stability of the NCS was checked by additional incubation
75 with Bovine Serum Albumin at physiological conditions (phosphate buffer saline, pH 7),
76 that was followed by ultra-centrifugation with extreme G force of $40,000\times g$, for 1 h (Beck-
77 man J2-21).

78 A commercial UV-Vis spectrometer (Perkin Elmer Lambda 35) was used for acquisi-
79 tion of the spectra of complex at room temperature. FTIR spectra of the synthesized com-
80 plex and complex-TiO₂ NCS were recorded using Nicolet 380 FTIR spectrophotometer
81 (Thermo Electron Corporation) in attenuated total reflection (ATR) mode. For each spec-
82 trum, 64 scans were performed, with a resolution of cm^{-1} , in the range of $400\text{--}4000\text{ cm}^{-1}$.

83 2.2 Isolation of phospholipids and formation of SUVs

84 For isolation and preparation of SUVs we used egg yolk phospholipids. The phospholipids
85 were extracted by the procedure for total lipid extraction from the egg yolk (Furusawa et al.
86 1999), and the phospholipids composition was confirmed by thin layer chromatography by
87 the procedure described earlier (Davidson et al. 1998). SUVs were prepared by the proce-
88 dure that had been used for encapsulation of TiO₂ NPs (Alonso et al. 1982), and the litera-
89 ture suggests that SUVs had diameter of around 30 nm.

90 2.3 Encapsulation of NCS in SUVs

91 The specific amount of formed NCS was additionally incubated overnight, with SUVs
92 in 1:1 mass proportion, in dark and at room temperature. The SUV-NCS system that was
93 formed was cross-examined, under identical conditions, as the free NCS.

94 2.4 Light stimuli complex release studies

95 To investigate the influence of red and UV light on the rate of complex release from NPs,
96 we performed two separate tests, with the identical principle—samples consisting of
97 $750\text{ }\mu\text{L}$ NCS solution or SUVs-NCS ($750\text{ }\mu\text{L} + 750\text{ }\mu\text{L}$) was placed into the dialysis cas-
98 sette (Thermo Scientific Dialysis cassette, 7000 MWCO, $0.5\text{--}5\text{ mL}$ capacity). Then the
99 cassettes were transferred into the beakers with 150 mL of phosphate buffer saline (PBS)
100 solution. For these tests, we used PBS solutions with two pH level, pH 7 and pH 5. The
101 samples were stirred in dark at room temperature, and for illumination we have applied the
102 red light (the intensity of $80\text{ }\mu\text{W}$) and UV light ($120\text{ }\mu\text{W}$). The source of red light was a
103 CW He-Ne laser (Coherent, 2 mW , 632.8 nm) whereas we used UV light from UVC Hg-
104 lamp (Philips, 25 W , 254 nm). The light intensities were measured with laser power meter
105 NOVA II (Ophir) with UV enhanced silicon photodiode sensor PD300-UV with installed
106 filter (CW power up to 300 mW). At the indicated time intervals, $500\text{ }\mu\text{L}$ of samples were
107 removed from outer medium and replaced with equal volumes of PBS solution, and the
108 aliquots were analyzed by measuring absorbance at 310 nm , which was specific for used
109 complex. Concentration of drug released from composite matrix was calculated and plotted

110 against the time. Non-irradiated NCS complex release profile served as control, for both
111 tests.

112 3 Results and discussion

113 3.1 Characterization of transitional metal complex and complex-TiO₂ NCS

114 The transitional complex, Ru(II)(dcbpy)₂Cl₂, was selected because of its binding poten-
115 tial for the surface of TiO₂. This complex contains ligand with carboxyl groups, which
116 has strong affinity for the TiO₂ NPs surface. To establish the end point of synthesis of the
117 complex and binding of ligand to the RuCl₃ salts, we measured the relative intensities of
118 the absorption maxima in 9 mM ethanol solution of the complex. The UV–Vis spectra of
119 complex is shown in the Fig. 1a, and the absorption maxima measured (I) correspond well
120 to the data from literature (II), (Nazeeruddin et al. 1999), and are presented in Table 1.

121 Four carboxyl groups on the Ru-complex can coordinate the Ti atoms on the surface of
122 TiO₂ NPs in three modes forming bidentate, unidentate and bridging carboxylates (Nazeer-
123 uddin et al. 2003). In our previous work, we carried out surface modification of colloidal
124 TiO₂ NPs (pH 3) with Ru complexes, discussed those binding options and concluded that
125 in this system unidentate binding structure prevails (Nešić et al. 2017). Since the NCSs
126 applied in this work were prepared by modified synthetic approach taking care about final
127 pH of dispersion (pH 7) and particles stability in physiological conditions, a slightly differ-
128 ent interaction between colloidal TiO₂ NPs (pH 7) and Ru(II) complexes could be expected.

129 The ATR-FTIR spectra of powdered samples of Ru(II) complex and NCS (colloidal
130 TiO₂ NPs (pH 7) surface modified by Ru(II) complex) are shown in Fig. 1b. In FTIR spec-
131 trum of Ru(II) complex peaks characteristic for stretching vibrations of carboxylic groups
132 $\nu_s(-COOH)$ at ~ 1700 and ~ 1540 cm⁻¹ were clearly observed as well as peaks assigned to
133 stretching vibrations of $\nu(CO)$ at 1215 and 1130 cm⁻¹. Finally, strong peak at 1014 cm⁻¹
134 could be assigned to the deformation vibrations of carboxyl groups $\delta(O-CO-H)$. In the
135 FTIR spectrum of NCS all previously mentioned peaks are of reduced intensities indicat-
136 ing the possible interaction of Ru(II) complexes and surface of colloidal TiO₂ NPs (pH
137 7). More precisely, appearance of new peaks at 1590 and 1365 cm⁻¹ in FTIR spectrum of
138 NCS and a significant decrease in the intensity of a peak assigned to stretching vibration
139 of carboxylic groups at 1700 cm⁻¹, implied to the contribution of unidentate and bridging
140 binding of Ru(II) complexes to an colloidal TiO₂ NPs (pH 7).

141 3.2 Complex release tests

142 In order to investigate the influence of light on the kinetics of complex release, as possible
143 ways to preserve the medicament before it reaches the target tissue, and the possibilities
144 to control the release rates, we performed separate tests, for two type of samples—SUVs
145 encapsulated NCS and free NCS, in which we irradiated samples with red (632.8 nm) and
146 UVC (254 nm) light. Along with illumination experiments, control experiments were per-
147 formed, i.e. the kinetics of a drug release in the dark. Additionally, two pH values of dialy-
148 sis solutions were used for the study of complex release properties of NCS: PBS with pH
149 5, and PBS medium of pH 7. The SUV-NCS sample was dialysed only against the PBS
150 medium of pH 7, since the liposomal encapsulation should prevent leaking of the model
151 drug—complex before it reaches the target tissue, i.e. in the serum/circulation. All the

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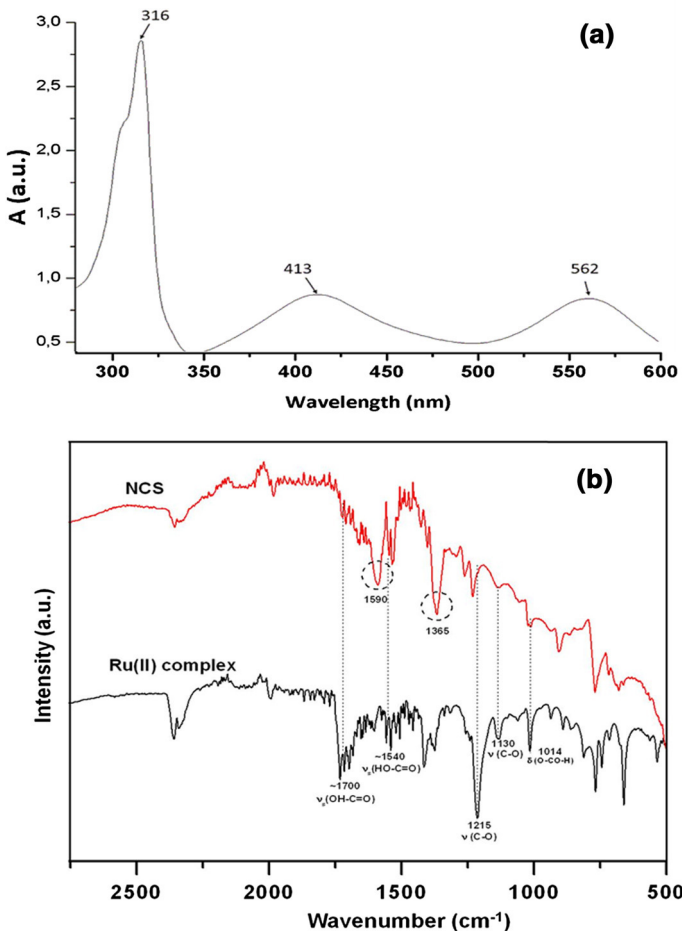


Fig. 1 UV-Vis spectra of complex Ru(II)(dcbpy)₂Cl₂ (a). The FTIR spectrum of complex and NCS (b)

Table 1 Spectral Data of the complex Ru(II)(dcbpy)₂Cl₂ in ethanol (concentration 9 mM)

	Wavelengths of the excitation at three characteristic absorption maxima, λ _{max} (nm) (absorption maxima ratio)		
I	562 (1.00)	413 (1.04)	316 (3.40)
II	565 (1.00)	414 (1.05)	316 (3.33)

152 studies were carried out in triplicate. The schematic diagram of experimental setup for the
 153 sample illumination with red light of NCS in pH 7 and pH 5 medium, is shown in Fig. 2,
 154 and obtained release curves from release tests are shown in Fig. 3.

155 All nine drug release profiles suggest a biphasic release process, with an initial burst
 156 phase followed by a lag period before the onset of degradation phase. At pH 7, the results
 157 obtained with red light illumination are as expected—the complex release rate is lower
 158 upon illumination of the NCS with red light. On the contrary, UV seems not to have any

159 influence on the complex release rate. In contrast to the previous system, the surface of
160 NPs used in this work is additionally modified, it seems that either higher UV power is
161 needed to achieve comparable effects, or that the mechanism of complex release is in the
162 present case different.

163 Also, the results obtained at pH 5 are quite unexpected, because there are no differences
164 in the complex release rates in the dark and upon illumination. Apart from the unknown
165 mechanism of the complex release when the surface of TiO_2 is modified, the difference in
166 the release rate upon visible light illumination might also arise from the higher moiety of
167 the protonated carboxylic acid residues, and hydration layer on the surface of TiO_2 , which
168 prevent re-binding of the complex to the NPs' surface once they are released.

169 Encapsulation of NCS in SUVs showed that irradiation, either with red or UV light,
170 had similar impact to the release rates, as under all experimental conditions, the complex
171 release from the conjugate NCS-SUV was less than 60% of total bound complex. This
172 experiment is only preliminary, but it is possible that phospholipids absorb a major part of
173 the applied light, both UV and visible, which explains the similarity with results of control
174 experiments.

175 4 Conclusion

176 In this study we synthesized NCS which had shown promising light-controllable drug-
177 release ability. NCS prepared in this way was extremely stable under physiological con-
178 ditions, which enables *in vivo* experiments in the future. We demonstrated that, due to
179 the presence of the Ru-complex in physiological conditions, the system had the ability to
180 respond to red light by sustaining complex release, whereas the encapsulation in SUVs
181 showed the potency to additionally preserve complex from release before it reaches tumor
182 cells. Sustained release could decrease side effects and increase effectiveness, so this prop-
183 erty is strongly favorable for drug delivery systems, and makes this NCS interesting for
184 further investigation. Greater impact of red light on the sustained complex release at pH 7,
185 is the advantageous property, because it opens possibility to keep the complex bound to the
186 surface of nanoparticle with red light until the system reaches target tissue.

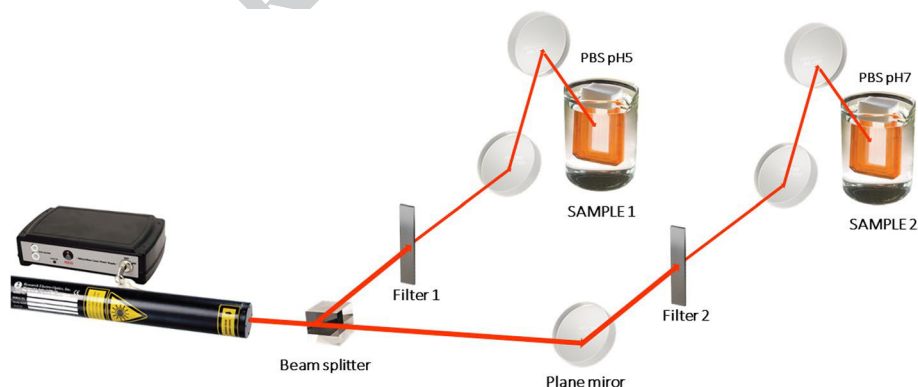
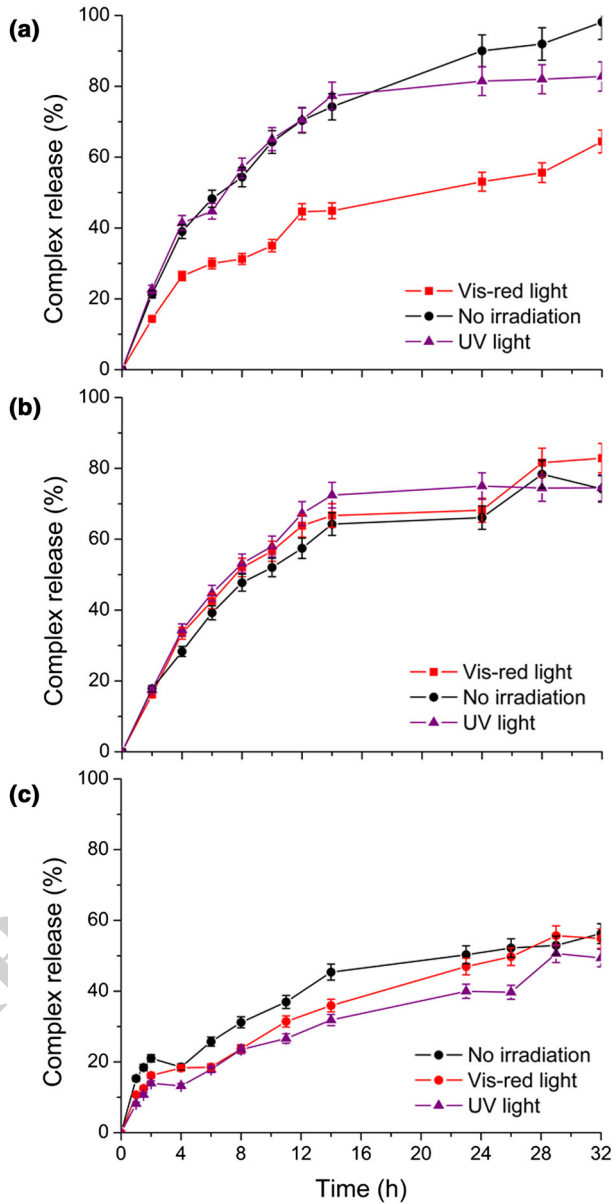


Fig. 2 Schematic diagram of the experimental setup: HeNe red laser beam is split by glass cube beam splitter into two beams and reflected by plane mirrors to the samples. The power of each beam is regulated by variable neutral density filter

Fig. 3 Comparative complex release profiles of non-irradiated sample (annotated with “No irradiation”), samples irradiated with red light (“Vis-red light”), and samples irradiated with UV light (“UV light”). Briefly, the complex release test was carried out at the room temperature, and the system was monitored for 32 h. The complex release from the free NCS in the dissolution medium PBS of pH 7 is shown at **a** the complex release from the free NCS in the dissolution medium PBS of pH 5 is shown at **b** and the complex release from the conjugate SUV-NCS in the dissolution medium PBS of pH 7 is shown at **c**. The concentrations of the released complex are expressed as the percentage of the control experiments, i.e. of the free complex. Experiments are performed in triplicate and the mean ± SD are presented in graphs



187 **Acknowledgements** This work was funded by the Serbian Ministry of Education, Science and Techno-
 188 logical Development, Grants OI 172011, OI 172056 and III 45010.

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